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Intellectual Property Administration
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3 1765
PATENT APPLICATION
ATTORNEY DOCKET NO. 10007744-1

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Dodd, S. et al

Confirmation No.:

Application No.: 09/932,055

Examiner:

Filing Date: Aug 16, 2001

Group Art Unit:

Title: Thermal Inkjet Printhead Processing With Silicon Etching

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Statement under 37 CFR 1.97(e), and
☐ a petition under 37 CFR 1.97(d)(2), and
☐ a \$180.00 petition fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

- ☒ I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231. Date of Deposit: Jan 3, 2003
OR

- ☐ I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number _____ on _____
Number of pages: _____

Typed Name: Patrick W. Hughey

Signature:

Respectfully submitted,

Dodd, S. et al

By:

Patrick W. Hughey

Attorney/Agent for Applicant(s)

Reg. No. 31,169

Date: Jan 3, 2003

Telephone No.: (503) 419-0704



PATENT APPLICATION

Sheet 1 of 1

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

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APPLICANT

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FILING DATE

Aug 16, 2001

GROUP

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	5,790,154	Aug 4, 1998	Mitani	
	1B	6,217,155	Apr 17, 2001	Silverbrook	
	1C	3,575,740	Apr 20, 1971	Castrucci	
	1D	4,435,898	Mar 13, 1984	Gaur	
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L	WO 99/03681	Jan 28, 1999	Silverbrook		
	1M	EP1078754A2	Feb 28, 2001	Kawamura et al		
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	European Search Report November 7, 2002 4 pp, related EP app. No. 02255596.5
	1R	
	1S	

EXAMINER

DATE CONSIDERED